

CORPORATE

This Suitability Assessment Form will guide you in choosing the unlisted capital market products that suit your investment objectives, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that suitable unlisted capital market products are recommended according to your investment needs and objectives.

FILE SPECIFIC INFORMATION

Date Undertaken	
CMSRL/ Distributor Representative/ Adviser/ Consultant's Name	
Product Issuer	Berjaya Mutual Sdn Bhd (<i>Formerly known as Inter-Pacific Asset Management Sdn Bhd</i>)
Name of Product	
Category of Product	

SECTION A: GENERAL INFORMATION

Name (as in Certificate of Incorporation)	
Company Registration No	
Contact Person	
Contact Number	
Contact Person's Email Address	

If the following box is ticked, please proceed with Section E of this Form:

- The organisation is an accredited investor or a high-net-worth entity as set out in Schedule 1 of Securities Commission Malaysia's Guidelines on Sales Practices of Unlisted Capital Market Products (*please refer Appendix B*) and hence this suitability assessment need not be conducted on the organisation, and wishes to opt-out from taking this suitability assessment.

WARNING

THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED INVESTMENT DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCTS.

SECTION B: FINANCIAL CAPACITY

<p>Tell us about your company's latest audited profit (RM): -</p> <p><input type="checkbox"/> 0 – 100,000 (0) <input type="checkbox"/> 100,001 – 150,000 (1) <input type="checkbox"/> Above 150,000 (2)</p>	<p>Score</p>
<p>As of the date of this assessment, share with us your company's latest audited liability (RM): -</p> <p><input type="checkbox"/> Above 1,000,000 (0) <input type="checkbox"/> 100,001 – 1,000,000 (1) <input type="checkbox"/> 0 - 100,000 (2)</p>	<p>Score</p>
<p>As of the date of this assessment, share with us your company's latest audited shareholders fund (RM): -</p> <p><input type="checkbox"/> 0 - 100,000 (0) <input type="checkbox"/> 100,001 - 1,000,000 (2) <input type="checkbox"/> Above 1,000,000 (4)</p>	<p>Score</p>
<p>What is your company's investment objectives?</p> <p><input type="checkbox"/> Capital Preservation (0) <input type="checkbox"/> Income (1) <input type="checkbox"/> Balanced (2) <input type="checkbox"/> Income and Growth (3)</p> <p><input type="checkbox"/> Growth (4)</p>	<p>Score</p>
<p>How long will your company hold its investments for?</p> <p><input type="checkbox"/> <3 years (0) <input type="checkbox"/> ≥ 3 to 5 years (2) <input type="checkbox"/> > 5 years (4)</p>	<p>Score</p>
<p>Share with us, your company's expectation of annualized gain that you would expect (%)?</p> <p><input type="checkbox"/> 0.00 - 4.00 (0) <input type="checkbox"/> 4.01 – 6.00 (1) <input type="checkbox"/> 6.01 – 8.00 (2) <input type="checkbox"/> 8.01 – 12.00 (3)</p> <p><input type="checkbox"/> Above 12.00 (4)</p>	<p>Score</p>
<p>What is your company's risk tolerance?</p> <p><input type="checkbox"/> Capital preservation is very important (0)</p> <p><input type="checkbox"/> Capital preservation is my/our objective but I/we can accept some capital reduction (2)</p> <p><input type="checkbox"/> I/We understand market risk and willing to accept capital reduction in my/our investment (4)</p>	<p>Score</p>
<p>Share with us your company's investment experience: -</p> <p>Bonds <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and</p> <p>Derivatives <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and</p> <p>Equities <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and</p> <p>Unit Trust Fund <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and</p> <p>Please specify fund category: <input type="checkbox"/> Balance <input type="checkbox"/> Income <input type="checkbox"/> Bond <input type="checkbox"/> Equity</p>	<p>Score</p>
<p style="text-align: right;">Grand Total</p>	

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SECTION C: RECOMMENDATIONS

Group*	Funds	Rationale	CMSRL/Distributor Representative/Adviser/Consultant's Signature
*See Appendix A for fund groupings.			
1			I attended to this Client: -
2			
3			_____ Signature
4			Name:
5			UTC Code:
			Date:

SECTION D: DECLARATION (PLEASE TICK WHICHEVER IS APPLICABLE)

<input type="checkbox"/> I/We understand my risk profile and the recommendation as prescribed in Section C above.	<input type="checkbox"/> I/We agree with the recommendation.	<input type="checkbox"/> I/We do not agree with the recommendation.
<input type="checkbox"/> I/We hereby declare and confirm that all the information provided herein is accurate, complete and true.	_____ Authorised Signatory Date:	
<input type="checkbox"/> I/We hereby acknowledge and agree that I am/we are in receipt of the Product Highlight Sheet, Master Prospectus or Prospectus, Information Memorandum and/or disclosure documents (including any supplemental/replacement thereof).		
<input type="checkbox"/> I/We hereby acknowledge and agree that the product(s) mentioned herein have been explained to me/us and I am/we are fully aware of the features and risk arising out of and/ or in connection with the products(s).		
<input type="checkbox"/> I/We decline to provide certain information required for product suitability assessment and that this may adversely affect my/our suitability assessment.		
<input type="checkbox"/> I/We have decided to purchase another unlisted capital market product that is not recommended by the product distributor.		

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APPENDIX B

Category of Investors	Qualifying Criteria
<p>High-Net-Worth Entity</p>	<ul style="list-style-type: none"> • A corporation with total net assets exceeding RM10 million or its equivalent in foreign currencies based on the last audited accounts. • A partnership with total net assets exceeding RM10 million or its equivalent in foreign currencies. • A company that is registered as a trust company under the Trust Companies Act 1949 which has assets under management exceeding RM10 million or its equivalent in foreign currencies. • A corporation that is a public company under the Companies Act 2016 which is approved by the SC to be a trustee under the CMSA and has assets under management exceeding RM10 million or its equivalent in foreign currencies. • A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967. • A statutory body established by an Act of Parliament or an enactment of any State.
<p>Accredited Investor</p>	<ul style="list-style-type: none"> • Central Bank of Malaysia established under the Central Bank of Malaysia Act 2009. • A holder of a Capital Markets Services Licence. • An executive director or chief executive officer of a holder of a Capital Markets Services Licence. • A unit trust scheme or a prescribed investment scheme. • A closed-end fund approved by the SC. • A licensed bank as defined in the Financial Services Act 2013 or a licensed Islamic bank as defined in the Islamic Financial Services Act 2013. • A Labuan bank as defined in the Labuan Financial Services and Securities Act 2010. • A licensed insurer as defined in the Financial Services Act 2013. • An insurance licensee as defined in the Labuan Financial Services and Securities Act 2010. • A takaful licensee as defined in the Labuan Islamic Financial Services and Securities Act 2010. • A licensed takaful operator as defined in the Islamic Financial Services Act 2013. • A private retirement scheme as defined in the CMSA.

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