



Berjaya Mutual Sdn Bhd
 (Formerly known as Inter-Pacific Asset Management Sdn Bhd)
 Registration No. 199001013139 (204709-U)
 West Wing, Level 13, Berjaya Times Square No. 1, Jalan Imbi, 55100 Kuala Lumpur
 Tel: 03-2117 1889 Fax: 03-2142 6029

**UTF/WSF ACCOUNT
 OPENING FORM**

INDIVIDUAL / JOINT

In accordance with the requirements of the Capital Markets and Services Act 2007 (CMSA), this Account Opening Form should not be circulated unless accompanied by the latest Master Prospectus / Prospectus / Information Memorandum and Supplemental(s) / Replacement thereto (if any) and Product Highlights Sheet. You should read and understand the contents of the latest Master Prospectus / Prospectus / Information Memorandum and Supplemental(s) / Replacement thereto (if any) and Product Highlights Sheet and the Terms and Conditions as specified overleaf before completing this form. Please complete this form in BLOCK LETTERS and tick (✓) where appropriate.

Individual Joint

Related Party Staff

1. PARTICULARS OF FIRST INDIVIDUAL APPLICANT

You must be 18 years of age and above as at the date of this account opening application. Please attach a certified copy of your NRIC or Passport.

*Full Name (as per NRIC / Passport)

*Title : Mr Ms Madam Others, please specify: _____ *Date of Birth: _____ - _____ - _____

*NRIC No. : _____ Passport No.: _____

*Gender : Male Female Race: Malay Chinese Indian Others Religion: Muslim Non-Muslim

*Marital Status : Single Married Divorced Widowed No. of Dependents (please indicate): _____

*Nationality : Malaysian, Bumiputera Malaysian, Non-Bumiputera Non-Malaysian, please specify: _____

Employment / Business Details

*Name of Employer / Firm / Business : _____

*Address : _____

Postcode: _____ Tel : _____ - _____

*Occupation : _____

*Source of Funds: Employment Business Savings/Inheritance Investment Proceeds Others, please specify: _____

*Source of Wealth : Employment Business Savings/Inheritance Investment Proceeds Others, please specify: _____

If own business, state percentage of shareholdings / ownership: _____ Nature of Business : _____

*Annual estimated Income : Up to RM 50,000 RM50,001- RM100,000 RM100,001 – RM300,000
 Above RM300,000

Family Particulars (please provide details of your spouse and children) minimum one name

Name	Relationship	Date of Birth	NRIC / Passport / Birth Certificate No.	Name of Employer (if employed)

2. PARTICULARS OF JOINT APPLICANT / DESIGNATED SECOND ACCOUNT HOLDER

Full Name (as per NRIC / Passport / Birth Certificate)

Title : Mr Ms Madam Others, please specify: _____ Date of Birth: _____ - _____ - _____

NRIC No. : _____ Passport / Birth Certificate No.: _____

Gender : Male Female Race: Malay Chinese Indian Others

Nationality : Malaysian, Bumiputera Malaysian, Non-Bumiputera Non-Malaysian, please specify: _____



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***3. CONTACT DETAILS**

*Residential : _____
 Address : _____

*Postcode : _____ Email : _____

*Tel No : _____ (House) _____ (Mobile)
 _____ (Office) _____ ext. _____ (Fax)

***4. BANKING CHARGES**

Bank Name	Address / Branch	Account Name	Account Type	Account Number

***5. ANNUAL INCOME OF SPOUSE (not applicable to Joint Account)**

Up to RM 50,000 RM50,000 to RM100,000 RM100,001 to RM 300,000 Above RM 300,000

***6. PARTICULARS OF INTRODUCER**

*Full Name (as per NRIC / Passport)

*NRIC No. : _____ - _____ - _____ Tel No. : _____
 MR code : _____ Email : _____

7. SOPHISTICATED INVESTOR DECLARATION (as defined under schedule 6 or 7 of the capital markets and services Act 2007)

I/We hereby confirm that I/we a m/are sophisticated investor(s)

Yes No

7. DOMESTIC CREDIT FACILITY DECLARATION

* I / We hereby declare that pursuant to "Domestic Ringgit Borrowing", as defined under the Notice of Foreign Exchange Administration Rule of Bank Negara Malaysia (FEA Rule), we declare the following: -

I / We do not have any domestic borrowing ringgit credit facilities as defined under the Exchange Control Notices of Bank Negara Malaysia.

OR

I / We have domestic ringgit credit facilities as defined under the Exchange Control Notices of Bank Nagara Malaysia. However, We further declare that our investments in foreign currency assets does not exceed Rjnggit Malaysia One Million (RM1,000,000) per calendar year, and undertake to inform you if it is otherwise.

***8. QUESTIONNAIRE**

Do you understand the risks of investing equities, money market and fixed income securities and that it may incur losses?: Yes No

Do you have any pending litigations, disputed accounts, or other unresolved matters with any parties? : Yes No

If YES, please provide details : _____

Have you ever been subjected to any federal or state bankruptcy proceedings, receivership or similar proceedings (voluntarily or involuntarily) or been convicted of any offense other than traffic offence in Malaysia or elsewhere or are there any proceedings now pending which may lead to such a conviction:

Yes No

If YES, please provide details : _____

Purpose of transaction | Investing in Unit Trust Yes No



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**FATCA AND CRS
 SELF-
 CERTIFICATION
 FORM**

INDIVIDUAL

In compliance with relevant tax authorities' requirement, Berjaya Mutual Sdn Bhd is obliged to collect certain information about each investor's tax arrangement. Please complete the sections below as directed and provide any document that is requested. Please note that we may be legally obliged to share this information, and other financial information with respect to the financial accounts to which this form relates to Inland Revenue Board of Malaysia ("IRBM"), who in turn may share the information with relevant tax authorities of other jurisdictions where you are a tax resident.

If you have any questions on how to define your tax residency status or US indicia, please consult your tax adviser or visit the OECD automatic exchange of information portal at (<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>) or IRS FATCA website (<https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>).

Each investor is required to complete this form including to joint account holder, if any.

This form will remain valid unless there is a change in circumstances relating to information, such as the account holder's tax status or other mandatory field information that makes this form incorrect or incomplete. In that case you must notify us and provide an updated self-certification within 30 days from such change with the supporting documents, as applicable.

1. IDENTIFICATION OF FIRST ACCOUNT HOLDER

* Full Name (as per NRIC / Passport)

* Title : Mr Ms Madam Others, please specify: _____ * Date of Birth: _____ - _____ - _____

* NRIC No. : _____ - _____ - _____ Passport No.: _____

* Nationality : Malaysian Non-Malaysian, please specify: _____

* Residence : _____

* Address : _____

* Postcode : _____ E-mail: _____

Sole Proprietor / Sole Trader

Name (as in Certificate of Incorporation)

Company Registration No : _____

Business : _____

Address : _____

Postcode : _____ Email : _____

Country of Incorporation : Malaysia Outside Malaysia, please specify: _____

2. FATCA DECLARATION

- * Are you a U.S. Citizen or Resident (including a green card holder) : Yes No
- * Do you have U.S. passport : Yes No
- * Is U.S. your country of birth : Yes No
- * Do you hold a current U.S. residence or mailing address (including a U.S. post office box) : Yes No
- * Do you have a current U.S. telephone number : Yes No
- * Do you currently maintain any standing instructions to the U.S. : Yes No
- * Do you currently assign power of attorney or signatory authority to a person within the U.S. : Yes No
- * Do you currently have a "hold mail" or "in care of" address as your sole address : Yes No
- * Do you currently file a tax return in U.S. : Yes No

U.S. TIN : _____



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**FATCA AND CRS
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3. CRS DECLARATION OF TAX RESIDENCY

*Are you a tax resident of Malaysia : Yes No

*Do you have tax residency outside Malaysia : Yes No

Country of tax residence : _____
 TIN : _____
 Country of tax residence : _____
 TIN : _____
 Country of tax residence : _____
 TIN : _____

If no TIN available, please tick one of the following reasons:

- Country does not issue TIN.
- Country does not require the collection of TIN.
- TIN application in progress.
- Not required to register tax file.
- I am a housewife, househusband, student or retiree.
- Others, please specify: _____

*** 4. DECLARATION AND SIGNATURE OF FIRST ACCOUNT HOLDER**

I acknowledge that the information contained in this form and information regarding my account(s) with you may be provided to IRBM and they may exchange this information with tax authorities of other countries pursuant to intergovernmental agreements to exchange financial account information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise Berjaya Mutual Sdn Bhd within 30 days of any change in circumstances which affects or causes the information contained herein to become incorrect or incomplete, and to provide Berjaya Mutual Sdn Bhd with a suitably updated self-certification within 30 days from such change with the supporting documents, as applicable.

 *Signature of First Applicant
 Date:



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**FATCA AND CRS
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JOINT

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If you have any questions on how to define your tax residency status or US indicia, please consult your tax adviser or visit the OECD automatic exchange of information portal at (<http://www.oecd.org/tax/transparency/automaticexchangeofinformation.htm>) or IRS FATCA website (<https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>).

Each investor is required to complete this form including to joint account holder, if any.

This form will remain valid unless there is a change in circumstances relating to information, such as the account holder's tax status or other mandatory field information that makes this form incorrect or incomplete. In that case you must notify us and provide an updated self-certification within 30 days from such change with the supporting documents, as applicable.

5. IDENTIFICATION OF SECOND ACCOUNT HOLDER

* Full Name (as per NRIC / Passport)

*Title : Mr Ms Madam Others, please specify: _____ Date of Birth: ____ - ____ - ____

* NRIC No. : _____ - _____ - _____ Passport No.: _____

* Nationality : Malaysian Non-Malaysian, please specify: _____

* Residence : _____

* Address : _____

* Postcode : _____ Email : _____

Sole Proprietor / Sole Trader

Name (as in Certificate of Incorporation) _____

Company Registration No : _____

Business : _____

Address : _____

Postcode : _____ Email : _____

Place of Incorporation : Malaysia Outside Malaysia, please specify: _____



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**FATCA AND CRS
 SELF-
 CERTIFICATION**

6. FATCA DECLARATION

- *Are you a U.S. Citizen or Resident (including a green card holder) : Yes No
- *Do you have U.S. passport : Yes No
- *Is U.S. your country of birth : Yes No
- *Do you hold a current U.S. residence or mailing address (including a U.S. post office box) : Yes No
- *Do you have a current U.S. telephone number : Yes No
- *Do you currently maintain any standing instructions to the U.S. : Yes No
- *Do you currently assign power of attorney or signatory authority to a person within the U.S. : Yes No
- *Do you currently have a "hold mail" or "in care of" address as your sole address : Yes No
- *Do you currently file a tax return in U.S. : Yes No

U.S. TIN : _____

7. CRS DECLARATION OF TAX RESIDENCY

- *Are you a tax resident of Malaysia : Yes No
- *Do you have tax residency outside Malaysia : Yes No

Country of tax residence : _____
 TIN : _____
 Country of tax residence : _____
 TIN : _____
 Country of tax residence : _____
 TIN : _____

If no TIN available, please tick one of the following reasons:

- Country does not issue TIN.
- Country does not require the collection of TIN.
- TIN application in progress.
- Not required to register tax file.
- I am a housewife, househusband, student or retiree.
- Others, please specify: _____

***8. DECLARATION AND SIGNATURE OF SECOND ACCOUNT HOLDER**

I acknowledge that the information contained in this form and information regarding my account(s) with you may be provided to IRBM and they may exchange this information with tax authorities of other countries pursuant to intergovernmental agreements to exchange financial account information.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

and to provide Berjaya Mutual Sdn Bhd with a suitably updated self-certification within 30 days from such change with the supporting documents, as applicable.

 Signature of Second Applicant
 Date:

9. DEFINITIONS

Account Holder means the person listed or identified as the holder of a Financial Account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person is the Account Holder. For example, in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

Account Information means any information relating to Account Holder including without limitation to the account number, account balance or value, currency denomination, gross receipts, withdrawals and payments to or from the account and the total gross amount of interest paid or credited to the account.

Financial Account means an account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts as defined in FATCA and CRS regulations.

U.S. means the United States.

OECD means the Organisation for Economic Co-operation and Development. OECD is an intergovernmental economic organisation with 35 members countries, founded in 1960 to stimulate economic progress and world trade.

IRS means Internal Revenue Service.

FATCA means Foreign Account Tax Compliance Act. FATCA is a 2010 United States federal law requiring all non-U.S. ('foreign') financial institutions (FFIs) to search their records for customers with indicia of 'U.S.-person' status, such as a U.S. place of birth, and to report the assets and identities of such persons to the U.S. Department of the Treasury.

CRS means Common Reporting Standard. CRS is developed in response to the G20 request and approved by the OECD Council on 15 July 2014, calls on jurisdictions to obtain information from their financial institutions and automatically exchange that information with other jurisdictions on an annual basis. It sets out the financial account information to be exchanged, the financial institutions required to report, the different types of accounts and taxpayers covered, as well as common due diligence procedures to be followed by financial institutions.

TIN (including "functional equivalent") means Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction. Further details of acceptable TINs can be found at the OECD automatic exchange of information portal. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a "functional equivalent"). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number, and resident registration number.

Tax Resident refers to the definition of tax residence by each participating jurisdiction as provided on [<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#id.en.347760>]. In general, you will find that tax residence is the country/jurisdiction in which you live. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency). For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal mentioned above.



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PDPA NOTICE

PERSONAL DATA PROTECTION ACT NO TICE

In accordance with the Personal Data Protection Act 2010 (“PDPA”) and our commitment to safeguard and protect our clients’ personal data, this notice sets out the manner of how your personal data will be processed by Berjaya Mutual Sdn Bhd. We encourage you to periodically review this Notice on a regular basis for updates and changes as Berjaya Mutual Sdn Bhd reserves the right to amend this Notice from time to time.

WHAT KIND OF PERSONAL DATA WILL BE COLLECTED AND HOW DO WE COLLECT IT

Personal data which may be collected from you include your name, NRIC/Passport number, gender, addresses, contact numbers, email address, employment, financial information, as well as information on your spouse or immediate relatives and any other information that may be required by the regulatory such as the Securities Commission of Malaysia. These are collected through any of the following methods: -

- a. Personal data which are directly provided by you by way of application forms or agreements;
- b. Personal data may be obtained by Berjaya Mutual Sdn Bhd from publicly available sources;
- c. Personal data related to your transactions with us or our affiliates;
- d. Information that you have provided to us on our websites;
- e. From third parties such as credit reference agencies or from any other sources as Berjaya Mutual Sdn Bhd considers appropriate;
- f. Any other sources which you have given your consent to disclose information relating to you and/or where not otherwise restricted.

PROVISION OF INFORMATION

As a client, you have the right in deciding the information that you wish to provide, we may require you to provide us with the mandatory information which we may deem relevant from time to time to enable us to process your application and offer our facilities, products and services to you. If you choose not to provide the same, we may not be able to process and provide the facilities, products and services to you.

PURPOSE OF COLLECTING PERSONAL DATA

The personal data provided by you shall be used in the ordinary course of our business which may include but not limited to the following purposes:

- a. The processing of your application for our facilities, products and services, including identification verification;
- b. Assessing or verifying your credit worthiness (i.e.: ongoing credit worthiness)
- c. To manage and maintain your account(s) and/or investment(s) with us;
- d. To communicate or respond to your enquiries and resolving any services issues or complaints;
- e. To provide you with information on products and services offered by us and/or our business partners;
- f. For purposes of cross selling, marketing and promotions with our strategic partners;
- g. To comply with regulatory requirements and provide assistance to law enforcement agencies;
- h. For enforcement of our rights and obligations;
- i. Developing financial products and services;
- j. To improve and develop our products and services and quality assurance;
- k. To conduct internal activities including for audit, compliance and risk management purposes;
- l. To conduct anti-money laundering checks; for crime detection, prevention and prosecution; to comply with any sanction requirements; and
- m. Any other purposes as permitted by applicable law and for any other incidental and associated purposes relating to any of the above.

Berjaya Mutual Sdn Bhd retains personal data for as long as necessary as permitted by applicable law for its legitimate business purposes or otherwise destroyed and/or deleted from our records and systems in accordance with our retention policy in the event such data is no longer required for the said purposes.

DISCLOSURE OF YOUR PERSONAL DATA

Your personal data will not be disclosed to any third parties unless it is within the ambit of permitted disclosures under the prevailing laws/guidelines and/or you have consented to such disclosure. We may disclose your data include but not limited to the following third parties:



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PDPA NOTICE

- a. Our agents, services providers, vendors and professional advisers acting on our behalf or appointed by us to act on our behalf;
- b. Our strategic partner outside Malaysia for the purposes of providing you services to trade in overseas securities if you choose so;
- c. Any corporations which may be associated with or related to Berjaya Mutual Sdn Bhd, including its holding, related and associated companies;
- d. Regulatory, government bodies or other authorities if required or authorised to do so to discharge any regulatory function under any law or in relation to any order or judgment of a court; and
- e. To our professional advisors including auditors, tax agents, solicitors, accountants and/or other agents in connection with your facilities, products and services.

SECURITY OF YOUR PERSONAL INFORMATION

- a. We will also ensure that reasonable steps are taken by the aforementioned categories of parties to protect or maintain confidentiality of your information disclosed to them.
- b. We regularly review and implement up-to-date technical and organisational security measures when processing your personal information.
- c. Employees of Berjaya Mutual Sdn Bhd are trained to handle the personal information securely and with strict confidentiality, failing which they may be subject to disciplinary action.

RIGHT OF ACCESS, OPT-OUT OF DISCLOSURE AND CORRECT PERSONAL DATA

You have the right to request for access to your personal data and if you wish to opt-out of disclosure of your personal data or request for updating/correction of your personal data held by Berjaya Mutual Sdn Bhd, please submit your request in writing via post or email to: -

- a. **Mailing address:**
Berjaya Mutual Sdn Bhd
West Wing, Level 13,
Berjaya Times Square,
No.1, Jalan Imbi,
55100 Kuala Lumpur.

- b. **Email address:**
enquiry_ipam@interpac.com.my

DECLARATION

I/We hereby declare that I/we have read, understood and consented to the processing of my/our personal data in accordance with the Notice and Terms and Conditions herein.

* Signature of First Applicant/
Authorised Signatory

Date:

Signature of Second Applicant/
Authorised Signatory

Date:

Company
Stamp



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**SUITABILITY
 ASSESSMENT
 FORM**

INDIVIDUAL / JOINT

This Suitability Assessment Form will guide you in choosing the unlisted capital market products that suit your investment objectives, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that suitable unlisted capital market products are recommended according to your investment needs and objectives.

FILE SPECIFIC INFORMATION

Date Undertaken	
CMSRL/ Distributor Representative/ Adviser/ Consultant's Name	
Product Issuer	Berjaya Mutual Sdn Bhd
* Name of Product	
Category of Product	

*** SECTION A: GENERAL INFORMATION**

The Principal Investor should complete this Suitability Assessment Form.

* Full Name (as per NRIC / Passport)	
* NRIC/Passport No.	
* Account Type	<input type="checkbox"/> Individual <input type="checkbox"/> Joint
* Nationality	
* Marital Status	
* No. of Dependents	
* Contact Number	
* Email Address	

WARNING

THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED INVESTMENT DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCTS.

SECTION B: FINANCIAL CAPACITY

Share with us your age: - <input type="checkbox"/> > 60 (0) <input type="checkbox"/> 51 - 60 (1) <input type="checkbox"/> 41 - 50 (2) <input type="checkbox"/> 30 - 40 (3) <input type="checkbox"/> < 30 (4)	Score
Tell us about your annual income (RM): - <input type="checkbox"/> 0 – 100,000 (0) <input type="checkbox"/> 100,001 – 150,000 (1) <input type="checkbox"/> Above 150,000 (2)	Score
As of the date of this assessment, share with us your estimated liability (RM): - <input type="checkbox"/> Above 1,000,001 (0) <input type="checkbox"/> 100,001 – 1,000,000 (1) <input type="checkbox"/> 0 - 100,000 (2)	Score
As of the date of this assessment, share with us your estimated net worth (RM): - <input type="checkbox"/> 0 - 100,000 (0) <input type="checkbox"/> 100,001 - 1,000,000 (2) <input type="checkbox"/> Above 1,000,000 (4)	Score
What is your investment objectives? <input type="checkbox"/> Capital Preservation (0) <input type="checkbox"/> Income (1) <input type="checkbox"/> Balanced (2) <input type="checkbox"/> Income and Growth (3) <input type="checkbox"/> Growth (4)	Score
How long will you hold your investments for? <input type="checkbox"/> <3 years (0) <input type="checkbox"/> ≥ 3 to 5 years (2) <input type="checkbox"/> > 5 years (4)	Score
Share with us, your expectation of annualized gain that you would expect (%)? <input type="checkbox"/> 0.00 - 4.00 (0) <input type="checkbox"/> 4.01 – 6.00 (1) <input type="checkbox"/> 6.01 – 8.00 (2) <input type="checkbox"/> 8.01 – 12.00 (3) <input type="checkbox"/> Above 12.00 (4)	Score
What is your risk tolerance? <input type="checkbox"/> Capital preservation is very important (0) <input type="checkbox"/> Capital preservation is my objective but I can accept some capital reduction (2) <input type="checkbox"/> I understand market risk and willing to accept capital reduction in my investment (4)	Score
Share with us your investment experience: - Bonds <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and Derivatives <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and Equities <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and Unit Trust Fund <input type="checkbox"/> < 1 year (0) <input type="checkbox"/> > 1year (1); and Please specify fund category: <input type="checkbox"/> Balance <input type="checkbox"/> Income <input type="checkbox"/> Bond <input type="checkbox"/> Equity	Score
Grand Total	

WARNING

THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED INVESTMENT DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCTS.

SECTION C RECOMMENDATIONS

Group*	Funds	Rationale	CMSRL/Distributor Representative/Adviser/Consultant's Signature
*See Appendix A for fund groupings.			
1			I attended to this Client: - _____ Signature Name: UTC Code: Date:
2			
3			
4			
5			

* **SECTION D: DECLARATION (PLEASE TICK WHICHEVER IS APPLICABLE)**

<input type="checkbox"/> * I understand my risk profile and the recommendation as prescribed in Section C above.	<input type="checkbox"/> * I agree with the recommendation.	<input type="checkbox"/> I do not agree with the recommendation.
<input type="checkbox"/> * I hereby declare and confirm that all the information provided herein is accurate, complete and true.	_____ *Client's Signature Date:	
<input type="checkbox"/> * I hereby acknowledge and agree that I am in receipt of the Product Highlight Sheet, Master Prospectus or Prospectus, Information Memorandum and/or disclosure documents (including any supplemental/replacement thereof).		
<input type="checkbox"/> * I hereby acknowledge and agree that the product(s) mentioned herein have been explained to me and I am fully aware of the features and risk arising out of and/ or in connection with the products(s).		
<input type="checkbox"/> I decline to provide certain information required for product suitability assessment and that this may adversely affect my suitability assessment.		
<input type="checkbox"/> I have decided to purchase another unlisted capital market product that is not recommended by the product distributor.		

WARNING

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**SUITABILITY
ASSESSMENT
FORM**

If the **product is not recommended** by the product distributor, please provide as follow:

Reasons product is not recommended: _____

APPENDIX A

Group	Fund Category	Score	Risk Category
G1	Money Market	0-8	Low
G2	Balanced Bond	9-15	Moderate
G3	Equity	16-32	High

WARNING

THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED INVESTMENT DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCTS.